

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Strittmatter *et al.*  
SERIAL NUMBER: 10/519,132 EXAMINER : Not Yet Assigned  
FILING OR 371(C) DATE: June 26, 2003 ART UNIT : Not Yet Assigned  
FOR: MODULATORS AND MODULATION OF THE INTERACTION  
BETWEEN RGM AND NEOGENIN

**MAIL STOP MISSING PARTS**

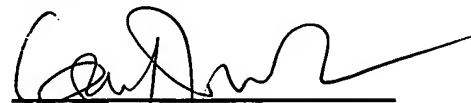
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed July 21, 2005, Applicants submit herein a copy of the Notification of Missing Requirements, an executed Combined Declaration and Power of Attorney, payment of the declaration surcharge fee (\$65.00) set forth in 37 C.F.R. §1.16(e), a paper copy of the Sequence Listing, an identical Computer Readable Form (1 disk), a Statement in Support of Computer Readable Form, and a Preliminary Amendment.

This Response is due on or before September 21, 2005. No additional fees are believed to be due. However, the Commissioner is authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Attorney Reference No. 23380-602 NATL.

Respectfully submitted,

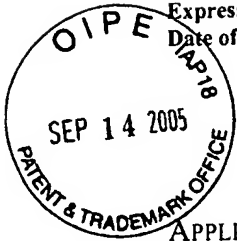


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Gregory J Sieczkiewicz, Reg. No. 48,223  
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Telephone: (617) 542-6000  
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Customer Number 30623

Dated: September 14, 2005

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Date of Deposit: September 14, 2005

Attorney Docket No. 23380-602 NATL



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P.O. Box 1450  
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TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

1. Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office; (1 page)
2. Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (2 pages);
3. Combined Declaration and Power of Attorney (3 counterparts; 3 pages each);
4. Check No. 21116 in the amount of \$65.00 to cover surcharge;
5. Sequence Listing (Paper Copy) (11 pages);
6. Computer Readable Form of the Sequence Listing (1 diskette);
7. Statement in Support of Computer Readable Form Submission (1 page);
8. Preliminary Amendment (4 pages); and
9. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 23380-602 NATL. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Ivor R. Elifi, Reg. No. 39,529  
Gregory J Sieczkiewicz, Reg. No. 48,223  
Attorneys for Applicants  
c/o MINTZ, LEVIN  
Telephone: (617) 542-6000  
Facsimile: (617) 542-2241  
Customer Number 30623

Dated: September 14, 2005

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

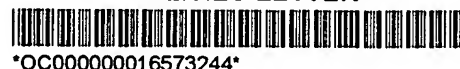
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/519,132	Stephen Strittmatter	23380-602 Natl

Ivor R Elrifi  
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INTERNATIONAL APPLICATION NO.	
PCT/US03/20147	
I.A. FILING DATE	PRIORITY DATE
06/26/2003	06/26/2002

CONFIRMATION NO. 7561  
371 FORMALITIES LETTER



\*OC000000016573244\*

Date Mailed: 07/21/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/22/2004
- Copy of the International Search Report filed on 12/22/2004
- Information Disclosure Statements filed on 12/22/2004
- Small Entity Statement filed on 12/22/2004
- Request for Immediate Examination filed on 12/22/2004
- U.S. Basic National Fees filed on 12/22/2004
- Priority Documents filed on 12/22/2004

<input checked="" type="checkbox"/> Data Entry	Done By
<input type="checkbox"/> Docket Entry	9/21/05
<input checked="" type="checkbox"/> Docket Cross Off	2/21/05
<input type="checkbox"/> Previously Entered	
<input type="checkbox"/> No Docketing Req.	
<input type="checkbox"/> ELITE	
<input type="checkbox"/> Annuites	

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990) and 114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant

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JUN 28 2005  
MINTZ, LEVIN, COHN, FERRIS, GLOVSKY & POPEO  
ATTORNEYS AT LAW  
BOSTON, MA 02111

desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

**For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:**

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

Telephone: (703) 308-9140 EXT 205

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/519,132	PCT/US03/20147	23380-602 Natl